

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,  
Debtors.

:  
: Chapter 11 Case No.

:  
: 08-13555 (JMP)  
: (Jointly Administered)  
:

:  
-----x Ref. Docket Nos. 36114, 37917,  
38165, 38195, 38196, 38198-38203,  
38206-38211, 38213, 38213, 38217-  
38219, 38221, 38223-38226, 38228,  
38230, 38231, 38233-38239

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On July 2, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
10<sup>th</sup> day of July, 2013

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ALSTON INVESTMENTS LLC  
TRANSFEROR: ELLIOTT ASSOCIATES, L.P.  
C/O ELLIOTT MANAGEMENT CORPORATION  
ATTN: ELLIOT GREENBERG / RAJAT BOSE  
712 FIFTH AVENUE, 35TH FLOOR  
NEW YORK NY 10019

Please note that your claim # 62743-87 in the above referenced case and in the amount of  
\$32,744.60 allowed at \$32,961.09 has been transferred (unless previously expunged by court order)

BARCLAYS BANK PLC  
TRANSFEROR: ALSTON INVESTMENTS LLC  
ATTN: DANIEL MIRANDA  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

BARCLAYS BANK PLC  
ATTN: ANTHONY VITIELLO  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 38206 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 07/02/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on July 2, 2013.

**EXHIBIT B**

TIME: 12:57:01  
DATE: 07/02/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P. ALLIANZ BANK FINANCIAL ADVISORS SPA ALSTON INVESTMENTS LLC AURELIUS INVESTMENT, LLC	TRANSFEROR: J. P. MORGAN SECURITIES LLC C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022  TRANSFEROR: BANCA MONTE DEI PASCHI DI SIENA S.P.A. ATTN: FRANCO BRICHETTI, FRANCESCA TREBBI PIAZZALE LODI, 3 MILANO 20137 ITALY TRANSFEROR: ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: ELLIOT GREENBERG / RAJAT BOSE 712 FIFTH AVENUE, 35TH FLOOR NEW YORK NY 10019 TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O AURELIUS CAPITAL MANAGEMENT, LP ATTN: JASON STRAMAGLIA/PATRICK VANCE 535 MADISON AVENUE, 22ND FLOOR NEW YORK NY 10022 ATTN: ANTHONY VITIELLO 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019 TRANSFEROR: ALSTON INVESTMENTS LLC ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019 TRANSFEROR: MOUNT KELLETT MASTER FUND II, LP ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019 DEMOR INTERNATIONAL S.C.R.L. ED. VAN NIEUWENHUYSE LAAN 6 BY. 8 BRUSSELS 1160 BELGIUM WINKELSTAP 23/3 SCHOTEN 2900 BELGIUM PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: DOUGLAS R. DAVIS 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019-6064 ATTN: WILHELM HUELSKEN KASERNENSTRASSE 10 DUSSELDORF 40213 GERMANY TRANSFEROR: EMPYREAN INVESTMENTS, LLC 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720 TRANSFEROR: EMPYREAN INVESTMENTS, LLC ATTN: BRIAN S. BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720 TRANSFEROR: BNP PARIBAS SECURITIES CORP ATTN: MATT WEINSTEIN 60 WALL ST., 3RD FLOOR NEW YORK NY 10005 TRANSFEROR: BNP PARIBAS SECURITIES CORP ATTN: MATT WEINSTEIN; C/O DEUTSCHE BANK SECURITIES INC. 60 WALL ST., 3RD FLOOR NEW YORK NY 10005 TRANSFEROR: CCP CREDIT ACQUISITION HOLDINGS, LLC ATTN: RICH VICHADITH 60 WALL ST., 3RD FLOOR NEW YORK NY 10005 TRANSFEROR: CENTERBRIDGE SPECIAL CREDIT PARTNERS, LP ATTN: RICH VICHADITH 60 WALL ST., 3RD FLOOR NEW YORK NY 10005 TRANSFEROR: DRAWBRIDGE SPECIAL OPPORTUNITIES FUND LP ATTN: JAMIE FOOTE 1 GREAT WINCHESTER STREET LONDON EC2N2DB UNITED KINGDOM TRANSFEROR: MONARCH MASTER FUNDING LTD ATTN: RICH VICHADITH 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005 TRANSFEROR: DEUTSCHE BANK AG C/O EMPYREAN CAPITAL PARTNERS, LP ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD, SUITE 2950 LOS ANGELES CA 90067 TRANSFEROR: DEUTSCHE BANK AG C/O EMPYREAN CAPITAL PARTNERS, LP ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD., SUITE 2950 LOS ANGELES CA 90067 TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O EMPYREAN CAPITAL PARTNERS, LP ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES CA 90067 TRANSFEROR: MERRILL LYNCH CAPITAL SERVICES INC ATTN: STERLING HATHAWAY EMPYREAN CAPITAL PARTNERS, LP 10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES CA 90067 TRANSFEROR: MERRILL LYNCH CAPITAL SERVICES, INC. ATTN: STERLING HATHAWAY EMPYREAN CAPITAL PARTNERS, LP 10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES CA 90067 TRANSFEROR: MERRILL LYNCH INTERNATIONAL C/O EMPYREAN CAPITAL PARTNERS, LP ATTN: STERLING HATHAWAY 10250 CONSTELLATION BLVD., STE 2950 LOS ANGELES CA 90067 TRANSFEROR: BES HIPPOLIET ANSONIA FINANCE STATION PO BOX 237037 NEW YORK NY 10023 TRANSFEROR: TILLEMA, H. ANSONIA FINANCE STATION PO BOX 237037 NEW YORK NY 10023 TRANSFEROR: CITIBANK PRIVATKUNDEN AG & CO. KGAA KNIEPHOFSTR. 60 BERLIN 12157 GERMANY TRANSFEROR: PAULSON CREDIT OPPORTUNITIES MASTER LTD. 200 WEST STREET NEW YORK NY 10282 TRANSFEROR: ROVIDA HOLDINGS LIMITED C/O GOLDMAN SACHS & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR NEW YORK NY 10282 TRANSFEROR: MACQUARIE BANK LIMITED C/O HBK SERVICES LLC 2101 CEDAR SPRINGS ROAD, SUITE 700 DALLAS TX 75201 TRANSFEROR: ILLIQUIDX LLP C/O HBK SERVICES LLC 2101 CEDAR SPRINGS ROAD, SUITE 700 DALLAS TX 75201 TRANSFEROR: MERRILL LYNCH CAPITAL SERVICES, INC. C/O HBK SERVICES LLC 2101 CEDAR SPRINGS ROAD, SUITE 700 DALLAS TX 75201 TRANSFEROR: INTESA SANPAOLO PRIVATE BANKING S.P.A. ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM TRANSFEROR: STICHTING THE IAMEX VALUE FOUNDATION ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM REF. PAOLO POLLASTRI (LEGAL DEPARTMENT) VIA HOEPLI, 10 MILANO 20121 ITALY TRANSFEROR: BANK LEUMI USA C/O J.P. MORGAN SECURITIES; MAIL CODE: NY1-M138 ATTN: JEFFREY L. PANZO 383 MADISON AVENUE, FLOOR 37 NEW YORK NY 10179 SIDLEY AUSTIN LLP ATTN: JAIME SENIOR 787 SEVENTH AVENUE NEW YORK NY 10019 TRANSFEROR: NOMURA CORPORATE FUNDING AMERICAS, LLC C/O MACQUARIE CAPITAL (USA) INC. ATTN: FICC CREDIT SALES & TRADING 125 WEST 55TH ST NEW YORK NY 10019 ATTN: JEFFREY BENESH & GARY S. COHEN BANK OF AMERICA TOWER- 3RD FLOOR ONE BRYANT PARK NEW YORK NY 10036 ATTN: JEFFREY BENESH & GARY S. COHEN BANK OF AMERICA TOWER-3RD FLOOR ONE BRYANT PARK NEW YORK NY 10036 C/O FREDRIC SOSNICK NED S. SCHODEK SHEARMAN & STERLING LLP 599 LEXINGTON AVENUE NEW YORK NY 10022

EPIQ BANKRUPTCY SOLUTIONS, LLC

Name	Address
MERRILL LYNCH CAPITAL SERVICES, INC.	C/O FREDRIC SOSNICK & NED S. SCHODEK SHEARMAN & STERLING LLP 599 LEXINGTON AVENUE NEW YORK NY 10022
PAULSON CREDIT OPPORTUNITIES MASTER LTD.	TRANSFEROR: THE ROYAL BANK OF SCOTLAND, PLC 1251 AVENUE OF THE AMERICAS 50TH FLOOR NEW YORK NY 10020
ROVIDA HOLDINGS LIMITED	C/O SNR DENTON SAM J. ALBERTS 1301 K STREET, NW WASHINGTON DC 20005
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY FUND II MASTER LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY FUND OFFSHORE MASTER LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY LH FUND LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY LH FUND LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
TILLEMA, H.	410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
VARDE CREDIT PARTNERS MASTER, L.P.	MARGRIETLAAN 21 BAFLO 9953 PB NETHERLANDS
VARDE FUND VI-A LP, THE	TRANSFEROR: BARCLAYS BANK PLC ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 MINNEAPOLIS MN 55437
VARDE FUND X (MASTER) LP, THE	TRANSFEROR: BARCLAYS BANK PLC ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BLVD, STE 1500 MINNEAPOLIS MN 55437
VARDE INVESTMENT PARTNERS (OFFSHORE) MAS	TRANSFEROR: BARCLAYS BANK PLC ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BLVD, STE 1500 MINNEAPOLIS MN 55437
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: BARCLAYS BANK PLC ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BLVD., STE 1500 MINNEAPOLIS MN 55437

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